

ACT-009 Surety Web Portal Account Requirement

Category	Field	Definition	Corresponding Field on Requirement Template
External	Date 06/14/02		
	Number ACT -009	Surety Web Portal Account Requirement	
	Requestor Account Management Subcommittee	Source organization Trade Support Network	
	Originator Louis Lisowski Jim Casler	Trade co-chair Customs co-chair	
	Requirement	See Attached text for requirement.	
	Business Need	Sureties need to be able to monitor all bonds and transactions associated with bond activity. To do this, they need to be able to query the system through the account portal for all data elements pertaining to accounts, perform “slice and dice” analysis using a standard report-building tool and download it to standard desktop applications.	
	Technical Need	Show relevant data to allow the account to quickly monitor any and all types of transactions involving the account’s dealings with Customs. Allow the account owner to define access. Prevent unauthorized users. Provide a report generating tool.	
	Benefits	The surety can closely monitor their transactions and associated bond information and obligations.	
	Risks	Security failure causing unauthorized access to account information. Inadvertently granting access to the wrong	

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		user.	
	Related Organizations	Brokers, carriers, importers, PGA, and etc. who could be granted access to the importer's account.	
	Priority	High	Relative Priority
	Customs Approval	State of the requirement (provided by the eCP)	State

1. Surety Account Requirement – ACT 009 6/14/02

1. The surety account shall include the surety code as well as name and address information and Treasury Limit.
2. The surety account shall include the ability to view all continuous and single transaction bonds and riders on file by importer identification number.
3. The surety account shall include the ability to terminate a bond electronically for underwriting reasons.
4. The surety account shall include the ability to establish and maintain users for which the surety permits access under the surety account. The surety account shall also include the ability to limit access to view and change information, including the ability to establish such access and to limit access to electronic bond termination, and POA filing/maintenance.
5. The surety account shall provide access to the Importer/5106 File, or its equivalent, for importers using a surety's bonds.
6. The surety account shall include the ability to view or query all entry data, liquidated and unliquidated for all entries for any bond a surety writes. This must include line item HTS data, open CF-28/29 and closed CF-28/29 information.
7. The surety account shall allow the surety to view or query entry data secured by any of its bonds by importer identification number.
8. Ace shall provide the ability for more than one surety to view entry data for an importer. An importer may use one surety for a continuous bond and a different surety for the single transaction bond (i.e. ADD or CVD). Both sureties should have access to that data, not just the surety for the continuous bond.
9. The surety account shall provide the ability for the surety to view/query, both by importer and by entry or bill number, increased/additional duty bills with detailed information.
10. The surety account shall provide the ability to query increased/additional duty bills by status (ie: open, closed, protested, formal demand on surety).
11. The surety account shall provide the ability to view protest data by importer and by entry.
12. The surety account shall provide the ability for the surety to view/query FP&F claims with detailed information by importer and by entry or case number.

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13. The surety account shall provide the ability to query FP&F claims by status (ie: open, closed, petitioned, demand on surety).
14. The surety account shall have access to any Administrative Messages and District/Port "Pipeline" issued by Customs.
15. The surety account shall be provided the ability to receive regular, periodic downloads of its continuous bonds, and entries (and related courtesy notices), in-bond movements, increased/supplemental duty bills, and FP&F cases arising from activities secured by its bonds.
16. The surety account shall have the ability to download data from entry, bill, and FP&F queries to manipulate for output or internal processing, similar to the currently available entry summary and importer/bond queries under ABI.
17. With regard to the ability to change surety account information, a history log should be maintained identifying who changed information and what was changed.
18. The surety account shall provide the ability to view and maintain its POA (CF-5297) activity, by individual attorneys-in-fact (by SSN), by port, or countrywide.
19. The surety account shall provide the ability to maintain its POA filings: to grant, change, and revoke powers of attorney electronically.
20. The surety account shall have the ability to file continuous and single transaction bonds and riders electronically.
21. The surety account shall have the ability to filer and view bond application data.
22. The surety account shall have the ability to view, query and download information from the "Extract Reference File" as currently identified in ABI, or its equivalent under ACE.
23. The surety account shall have the ability to query and view for multiple surety codes for surety companies that are related by common ownership or management, and have the ability to show the results of its queries on a "consolidated" or "group" basis.